Updates in head and neck cancer: one year in review
Atualizações em câncer de cabeça e pescoço: revisão de um ano

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Introduction: Head and neck squamous cell carcinoma (HNSCC) encompass a heterogeneous group of tumors with a challenge treatment. In Brazil, HNSCC represent the third most frequent neoplasia in men. Aim: The aim of this study was to review the most relevant and practice changing articles recently published. Material and methods: The review of the literature covered studies published over the last year and described key evidence-based changes in treatment of HNSCC. Results: We retrieved nine studies with clinical impact. The main updates include: 1) systematic review in the treatment delivery time; 2) recommendations for the diagnosis of HPV oropharyngeal carcinoma; 3) guidelines for the delineation of target volumes in radiation therapy; 4) de-intensification of treatment in HPV positive oropharyngeal carcinoma; 5) induction chemotherapy; 6) immunotherapy. Conclusions: The treatment and diagnosis of HNSCC has changed over the last year, mainly with the inclusion of HPV positive oropharyngeal carcinoma and immunotherapy.

Keywords: Head and Neck Neoplasms; Carcinoma, Squamous Cell; Chemoradiotherapy; Immunotherapy; Patient Care Team

ABSTRACT

Introduction: Head and neck squamous cell carcinoma (HNSCC) encompass a heterogeneous group of tumors with a challenge treatment. In Brazil, HNSCC represent the third most frequent neoplasia in men. Aim: The aim of this study was to review the most relevant and practice changing articles recently published. Material and methods: The review of the literature covered studies published over the last year and described key evidence-based changes in treatment of HNSCC. Results: We retrieved nine studies with clinical impact. The main updates include: 1) systematic review in the treatment delivery time; 2) recommendations for the diagnosis of HPV oropharyngeal carcinoma; 3) guidelines for the delineation of target volumes in radiation therapy; 4) de-intensification of treatment in HPV positive oropharyngeal carcinoma; 5) induction chemotherapy; 6) immunotherapy. Conclusions: The treatment and diagnosis of HNSCC has changed over the last year, mainly with the inclusion of HPV positive oropharyngeal carcinoma and immunotherapy.

Keywords: Head and Neck Neoplasms; Carcinoma, Squamous Cell; Chemoradiotherapy; Immunotherapy; Patient Care Team

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Introdução: O carcinoma de células escamosas de cabeça e pescoço (CCECP) abrange um grupo heterogêneo de tumores com um tratamento desafiador. No Brasil, o CCECP representa a terceira neoplasia mais frequente em homens. Objetivo: O objetivo deste estudo foi revisar os artigos mais relevantes publicados recentemente e que mudaram a prática. Material e métodos: A revisão da literatura abrangeu estudos publicados ao longo do último ano e descreveu as principais mudanças baseadas em evidências no tratamento do CCECP. Resultados: Foram recuperados nove estudos com impacto clínico. As principais atualizações incluem: 1) revisão sistemática do tempo do tratamento; 2) recomendações para o diagnóstico do carcinoma orofaríngeo por HPV; 3) diretrizes para o delineamento de volumes alvo em radioterapia; 4) desintensificação do tratamento em carcinoma de orofaringe positivo para HPV; 5) quimioterapia de indução; 6) imunoterapia. Conclusões: O tratamento e diagnóstico de CCECP mudaram ao longo do último ano, principalmente com a inclusão do carcinoma de orofaringe positivo para HPV e a imunoterapia.

Descritores: Neoplasias da Cabeça e Pescoço, Carcinoma de Células Escamosas, Quimioradioterapia, Imunoterapia, Equipe de Cuidado ao Paciente.

INTRODUCTION
Head and neck squamous cell carcinomas (HNSCC) are the eighth most common malignancy, with 834,000 new cases diagnosed worldwide in 2018(1). In Brazil, HNSCC are the third most frequent malignant neoplasia in men, with 14,700 new cases of oral cavity tumors and 7,670 new cases of laryngeal carcinoma diagnosed yearly(2). This paper reviews the most relevant studies published over the last year in head and neck oncology.

MATERIAL AND METHODS
We reviewed studies published over the last year and described key evidence-based changes in treatment of HNSCC. We focused in practice changing studies, not using a formal methodological tool.

RESULTS
We retrieved nine studies with clinical impact in head and neck oncology (Table 1).

Table 1. selected studies

<table>
<thead>
<tr>
<th>Author (year)</th>
<th>Study type</th>
<th>Subject</th>
</tr>
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<tbody>
<tr>
<td>Lewis et al. (2018)6</td>
<td>Guideline</td>
<td>HPV diagnosis in oropharyngeal carcinoma</td>
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<tr>
<td>Grabovis et al.(2018)8</td>
<td>Systematic Review</td>
<td>Treatment delivery time</td>
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<tr>
<td>Lee et al. (2018)10</td>
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<tr>
<td>Gillison et al. (2018)11</td>
<td>Phase III - non-inferiority</td>
<td>HPV positive oropharyngeal carcinoma: treatment deintensification</td>
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<tr>
<td>Mehana et al. (2018)12</td>
<td>Phase III</td>
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<td>Ghi et al. (2017)14</td>
<td>Phase II/III</td>
<td>Induction chemotherapy</td>
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<td>Ferris et al. (2018)18</td>
<td>Phase III</td>
<td>Immunotherapy</td>
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<tr>
<td>Burtness et al. (2018)20</td>
<td>Phase III</td>
<td>Immunotherapy</td>
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Updates in head and neck cancer management of head and neck tumors. In this publication, the importance of the treatment delay in the primary tumor or from cervical nodal metastases is well established predictors of oncologic outcome and prolonged treatment package time.

Delays in the initiation or continuation of the oncological treatment are significant. The time from surgery to the initiation of postoperative radiotherapy was also evaluated in five studies that were all published in 2017 and 2018, two of them with concurrent cisplatin or cetuximab. The primary endpoint of OS favored the cisplatin over the cetuximab arm (85% versus 78% alive at 5 years, respectively, HR 1.45, 1-sided 95% upper confidence bound 1.94, p=0.51 for non-inferiority, p=0.02 for 1-sided log-rank). Cetuximab-treated patients also had inferior progression-free survival and locoregional control. The acute toxicity profile, however, favored cetuximab, as assessed by the T-score method. The De-ESCALaTE trial showed similar results. With 334 low-risk, p16-positive HNSCC patients randomized between radiation therapy given concurrently with cisplatin or cetuximab, the trial failed to meet its primary endpoint of overall severe (grade 3-5) toxicity rate (4.8% for cisplatin, 4.8% for cetuximab), while showing inferior 2-year OS for the cetuximab arm (89.4% versus 97.5% for cisplatin, HR 4.99, 95% CI 1.70-14.67, P=0.001). Locoregional and distant recurrence rates were also higher for cetuximab-treated patients. Even within a more favorable subgroup of patients excluding T4 or N3 disease, the OS favored cisplatin. Taken together, these results demonstrate the importance of conducting prospective, randomized trials within the context of treatment de-intensification for HPV-related HNSCCs before implementation in routine clinical practice and support concurrent cisplatin and radiation therapy as the standard of care treatment option in this setting.

**Diagnosis**

**Human Papillomavirus Testing in Head and Neck Carcinomas: Guideline from the College of American Pathologists**

Human papillomavirus (HPV) has been identified as a major cause of oropharyngeal squamous cell carcinomas (OPSCC) and as a good prognostic marker. HPV status is now integrated into the 8th American Joint Committee on Cancer (AJCC) staging manual. The College of American Pathologists appointed an expert panel to develop an evidence-based set of recommendations. Based on these guidelines, the major recommendations are: (1) testing newly diagnosed OPSCC patients for high-risk HPV, either from the primary tumor or from cervical nodal metastases, using p16 immunohistochemistry with a 70% nuclear and cytoplasmic staining cutoff, and (2) not routinely testing non-squamous oropharyngeal carcinomas or nonoropharyngeal carcinomas for HPV. Pathologists should report tumors as HPV positive or p16 positive and follow the guidelines provided for testing cytologic samples and handling of locoregional and metastatic specimens.

**Treatment**

**Treatment delivery time**

Delays in the initiation or continuation of the oncological treatment are well established predictors of worse prognosis. Recent systematic review confirmed the importance of the treatment delay in the management of head and neck tumors. In this publication, after reviewing 13 studies, some of which have more than 20,000 patients and are published after 2015, the authors have shown that the delay in initiating the oncological treatment of head and neck cancers is associated with worse overall survival (OS). Delays in excess of 20 days would already be sufficient to compromise the final therapeutic outcome, although most studies agree that the optimal time to adjuvant treatment initiation is 46 to 52 days. The time from surgery to the initiation of postoperative radiotherapy was also evaluated in five studies that were all published in 2017 and 2018, two of them with concurrent cisplatin or cetuximab. The results indicate poorer oncologic outcomes in cases of delay exceeding 6 weeks for the initiation of radiotherapy, time interval recommended by NCCN (National Comprehensive Cancer Network) guideline and commonly used with quality indicator and benchmark target. Finally, the treatment package time (the time from surgery through the completion of postoperative radiotherapy) was analyzed in five studies, published from 2016 onwards, with a clear association between worse oncologic outcome and prolonged treatment package time. In summary, these data reinforce the importance of adopting measures that could provide the treatment initiation in a timely manner and minimize possibilities of dissolution of continuity of therapy.

**Radiation therapy**

**International guidelines for the delineation of target volumes**

Two international guidelines for the delineation of target volumes were published to describe a useful reference for correct contouring to certify ideal target coverage in oropharyngeal, hypopharyngeal, laryngeal, oral cavity and nasopharyngeal carcinoma. These guidelines contribute to decrease treatment differences in the regular radiation therapy practice, contribute to increase care of head and neck carcinoma patients and assist the conduct of clinical trials.

**Systemic treatment**

**HPV positive oropharyngeal carcinoma: treatment deintensification: Concurrent radiation therapy with cisplatin or cetuximab**

Locally advanced, HPV-related, OPSCC have been recognized as a distinct entity, with a unique staging system, and a favorable prognosis when treated with concurrent chemoradiation therapy. Several efforts are under way to de-intensify care for this group of patients, aiming at preserving OS with less short and long-term toxicities. One such strategy is the substitution of cetuximab for cisplatin, when given concurrently with radiation therapy. This was the study topic of two randomized trials published in 2018 – RTOG 1016 and De-ESCALaTE. In the non-inferiority RTOG 1016 trial, 849 patients with p16-positive T1-2, N2a-3, M0 or T3-4, N0-3 M0 HNSCC (AJCC 7th edition) were randomly assigned to receive radiation therapy with concurrent cisplatin or cetuximab. The primary endpoint of OS favored the cisplatin over the cetuximab arm (85% versus 78% alive at 5 years, respectively, HR 1.45, 1-sided 95% upper confidence bound 1.94, p=0.51 for non-inferiority, p=0.02 for 1-sided log-rank). Cetuximab-treated patients also had inferior progression-free survival and locoregional control. The acute toxicity profile, however, favored cetuximab, as assessed by the T-score method. The De-ESCALaTE trial showed similar results. With 334 low-risk, p16-positive HNSCC patients randomized between radiation therapy given concurrently with cisplatin or cetuximab, the trial failed to meet its primary endpoint of overall severe (grade 3-5) toxicity rate (4.8% for cisplatin, 4.8% for cetuximab), while showing inferior 2-year OS for the cetuximab arm (89.4% versus 97.5% for cisplatin, HR 4.99, 95% CI 1.70-14.67, P=0.001). Locoregional and distant recurrence rates were also higher for cetuximab-treated patients. Even within a more favorable subgroup of patients excluding T4 or N3 disease, the OS favored cisplatin. Taken together, these results demonstrate the importance of conducting prospective, randomized trials within the context of treatment de-intensification for HPV-related HNSCCs before implementation in routine clinical practice and support concurrent cisplatin and radiation therapy as the standard of care treatment option in this setting.
Induction chemotherapy

The efficacy of induction chemotherapy followed by chemoradiation (CCRT) compared to CCRT alone has not been consistently demonstrated in randomized clinical trials. Finally, a phase II/III, a two-by-two factorial randomized, multicentric Italian trial was the first to show a significant OS benefit for induction chemotherapy, though with a borderline improvement in loco-regional control (LCR)(13). Patients treated with a modified dose of docetaxel, cisplatin and 5-FU (TPF) had a median OS of 54.7 months versus 31.7 months (HR=0.73; p=0.0029)(14). Strengths of this study was the number of accrued subjects (N=421) and weak points was the more complicated factorial design, high number of patients with primary tumors of the oral cavity and lack of HPV status of oropharyngeal tumors. This trial must be seen with caution because, recently, three phase III trials failed to demonstrate a survival benefit for the addition of IC to CCRT(14-16). Despite this positive result, induction chemotherapy treatment must be considered investigational and only very selected patients should be treated with induction chemotherapy (younger patients, excellent performance status, high risk of recurrence (i.e: N2/N3, specially HPV negative disease).

Immunotherapy

Immunotherapy is consolidated as standard of care second line treatment for recurrent or metastatic head and neck cancer, after failure of platinum-based therapy, and in 2018 the updated results of one of the pivotal phase 3 trials in this field were published. The Checkmate 141 trial randomized 361 patients with recurrent or metastatic head and neck squamous cell carcinoma, whose disease had progressed within 6 months of platinum-based chemotherapy to receive, in a 2:1 ratio, nivolumab versus investigator choice of therapy (IC: docetaxel or methotrexate or cetuximab)(17). The two-year update, with a minimum follow up of 24.2 months confirmed the initial results and showed that nivolumab (n=240) continued to improve OS over IC (n=121), with a hazard ratio (HR) of 0.68 (95% CI 0.64-0.86), and a median OS of 7.7 months for nivolumab versus 5.5 months for IC(18). Nivolumab was associated with an estimated 24-month OS rate of 16.9% versus 6% for IC, and demonstrated OS benefit across patients with tumor PD-L1 expression ≥ 1% (HR=0.55; 95% CI: 0.39-0.78) or <1% (HR=0.73; 95% CI: 0.49-1.09) and patients HPV positive (HR=0.60; 95% CI: 0.37-0.97) or negative (HR=0.59; 95% CI: 0.38-0.92). In the nivolumab arm, there were no observed differences in baseline characteristics between long-term survivors and the overall population. With a long-term follow-up, safety profile remained favorable to nivolumab compared to IC, with fewer grade 3-4 treatment related adverse events (TRAEs) in the nivolumab arm (15.5%) compared to IC (36.9%). Immune related adverse events incidence was consistent with previous analyses, with the majority of grade 3-4 events in the nivolumab arm occurring in the first 6 months of treatment. It is also worth noticing that, in an exploratory analysis of this trial, nivolumab stabilized quality of life parameters like symptoms and functioning, whereas IC led to clinically meaningful deterioration(19). These results established Nivolumab as a therapeutic option for post-platinum recurrent or metastatic head and neck cancer.

KEYNOTE 048 is an open-label, randomized phase 3 trial with 3 arms, comparing the standard of care chemotherapy regimen cetuximab, cisplatin and fluorouracil (PFE, known as EXTREME regimen) versus pembrolizumab (I) 200mg q3w alone or in combination with chemotherapy (cisplatin and fluorouracil, PF+I) for patients with recurrent or metastatic head and neck squamous cell carcinoma (R/M HNSCC) as first-line systemic therapy. Primary endpoints were OS and progression-free survival (PFS). During European Congress of Medical Oncology (ESMO) Congress 2018, the results of the second interim analysis were presented, with 882 patients randomly allocated(20). Subgroup analysis considered the combined positive score (CPS) ≥ 20 or ≥ 1. The trial was positive and pembrolizumab was superior to PFE in terms of OS in CPS ≥ 20 (median 14.9 vs 10.7 months; P = 0.0007) and in the CPS ≥ 1 patients (median 12.3 vs 10.3 months; P = 0.0086). It did not prolong PFS in CPS ≥ 20 (P = 0.5); and per the analysis plan, no further PFS testing was done for I vs PFE. P F + I was non-inferior and superior to PFE for OS in the total population (median 13.0 vs 10.7 months; P = 0.0034). The median duration of response was remarkably better in those patients who received pembrolizumab alone, with 20.9 months vs. 4.2 months to PFE arm in CPS ≥ 20. The rate of grade 3-4 adverse effects (AEs) was 17%, in patients receiving pembrolizumab alone, was 69% in the PFE arm and 71% for PF+I. Pembrolizumab associated with chemotherapy can be now considered as the new standard first line treatment in those patients with R/M HNSCC. Pembrolizumab alone may be considered for selected patients with CPS ≤20 or ≥1, taking into account the low response rate of this therapeutic option.

DISCUSSION/CONCLUSIONS

The last year provided physicians who treat patients with head and neck cancer with significant new data on diagnosis, staging and treatment. Including these new data in Brazilian health care reality is essential and a challenge. Classifying oropharyngeal carcinoma patients in HPV positive or negative is important in public and private scenario, although it doesn’t change the treatment. Despite the better prognosis in patients with OPSCC (at least in patients from developed countries), the treatment cannot be...
de-intensified with the available studies. Two robust trials demonstrated the strategy to substitute cetuximab for cisplatin in patients with HPV positive OPSCC worsens patient’s prognosis. The classical treatment of advanced HNSCC should be kept: concurrent cisplatin with radiation therapy. The positive results of the induction Italian trial raises the question induction chemotherapy can be used in high risk patients. Lastly, the new era of immunotherapy comes to head and neck cancer treatment: nivolumab for recurrent or metastatic HNSCC patient’s cisplatin refractory and pembrolizumab for patients in first line treatment. Immunotherapy demonstrated better survival with better tolerance than classical chemotherapy. The Brazilian challenge will be providing access to these new treatments for all patients who needs it in spite of the limited resources for medical care in the public system. Timely and multiprofessional care, following current guidelines, can offer better survival with lower cost in the Brazilian scenario.

REFERENCES


